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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/565,259	10/17/2006	Michael Bauer	1431.145.101/FIN 606PCT/U	9550
25281	7590	05/21/2010	EXAMINER	
DICKE, BILLIG & CZAJA FIFTH STREET TOWERS 100 SOUTH FIFTH STREET, SUITE 2250 MINNEAPOLIS, MN 55402			SLUTSKER, JULIA	
			ART UNIT	PAPER NUMBER
			2891	
			MAIL DATE	DELIVERY MODE
			05/21/2010	PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/565,259	<b>Applicant(s)</b> BAUER ET AL.	
	<b>Examiner</b> JULIA SLUTSKER	<b>Art Unit</b> 2891	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 25 February 2010.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 25-36 is/are pending in the application.
- 4a) Of the above claim(s) 26, 29 and 34 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 25, 27, 28, 30-33, 35 and 36 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 19 January 2006 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \*    c) ☐ None of:
- ☒ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☒ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |   |   |
|---|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                    | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)         | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____   | 6) <input type="checkbox"/> Other: _____                          |

**DETAILED ACTION**

***Claim Rejections - 35 USC § 102***

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

2. Claims 25, 31, and 36 are rejected under 35 U.S.C. 102(b) as being anticipated by Hotchkiss (US 6, 239, 013).

Regarding claim 25, Hotchkiss discloses a system for loading substrates with solder contacts, the system comprising: a support with a layer of adhesive on one side (Fig.5, numerals 35), the layer of adhesive comprising a thermoplastic or thermosetting material (column 4, lines 36-36), the adhesive force of which is reduced when irradiated (column 5, lines 29-39); solder ball elements arranged closely packed in rows and columns on the layer of adhesive in a prescribed minimally permissible pitch for a semiconductor chip or a semiconductor component (Fig.4A, numeral 12); an irradiating device with a source of radiation and apparatus for selectively irradiating the support to reduce the adhesion of the layer of adhesive for loosening solder ball elements at prescribed positions (column 5, lines 29-29); a removal device for removing the loosened solder ball elements and leaving solder ball elements in an arrangement pattern for flip-chip contacts or ball contacts (column 4, lines 60-67); a loading device for fixing the solder ball elements remaining on the support in a prescribed arrangement pattern on contact areas of the semiconductor wafer or

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semiconductor chip or the wiring support for semiconductor components; (column 7, lines 36-42) and a pulling-off device for pulling the supports off the ball contacts (Fig.7A).

Regarding claim 31, Hotchkiss discloses a method for loading substrates with solder ball contacts, comprising: producing a tape from support material with a layer of adhesive on one side (Fig.3, numeral 35), comprising a thermoplastic or thermosetting material (column 4, lines 38-36), the adhesive force of which is reduced when irradiated (column 5, lines 29-39); arranging solder ball elements in rows and columns on the layer of adhesive in a prescribed minimally permissible pitch for a semiconductor chip or for a semiconductor component (Fig.4A, numeral 12); selectively irradiating the support to reduce the adhesion of the layer of adhesive and loosen solder ball elements at prescribed positions (Fig.6, numeral 40, column 5, lines 29-39); removing the loosened solder ball elements and leaving solder ball elements that are fixed on the support in an arrangement pattern for a semiconductor chip or for a semiconductor component (column 4, lines 60-67); soldering the solder ball elements remaining in a predetermined arrangement pattern on the support onto contact areas of a semiconductor wafer or semiconductor chip or wiring support for semiconductor components (column 5, lines 3-10); and pulling the support off the substrate to be loaded with flip-chip contacts or ball contacts (Fig.7A).

Regarding claim 36, Hotchkiss discloses subjecting the support to irradiation over a large surface area (column 5, lines 29-39), and the support is pulled off the ball contacts (Fig.7A).

3. Claims 25, 31, and 35 are rejected under 35 U.S.C. 102(b) as being anticipated by Wachtler (US 6, 071, 801).

Regarding claim 25, Wachtler discloses a system for loading substrates with solder contacts, the system comprising: a support with a layer of adhesive on one side (Fig.2A, numeral 22), the layer of adhesive comprising a thermoplastic or thermosetting material, the adhesive force of which is reduced when irradiated (column 5, lines 29-36); solder ball elements arranged closely packed in rows and columns on the layer of adhesive in a prescribed minimally permissible pitch for a semiconductor chip or a semiconductor component (Fig.4A, numeral 12); an irradiating device with a source of radiation and apparatus for selectively irradiating the support to reduce the adhesion of the layer of adhesive for loosening solder ball elements at prescribed positions (column 5, lines 20-29); a removal device for removing the loosened solder ball elements and leaving solder ball elements in an arrangement pattern for flip-chip contacts or ball contacts (column 6, lines 58-67); a loading device for fixing the solder ball elements remaining on the support in a prescribed arrangement pattern on contact areas of the semiconductor wafer or semiconductor chip or the wiring support for semiconductor components (column 6, lines 40-45); and a pulling-off device for pulling the supports off the ball contacts (column 2, lines 20-25; column 4, lines 20-25).

Regarding claim 31, Wachtler discloses a method for loading substrates with solder ball contacts, comprising: producing a tape from support material with a layer of adhesive on one side (column 5, lines 9-20), comprising a thermoplastic or thermosetting material, the adhesive force of which is reduced when irradiated (column

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5, lines 29-30); arranging solder ball elements in rows and columns on the layer of adhesive in a prescribed minimally permissible pitch for a semiconductor chip or for a semiconductor component (Fig.4A, numeral 12); selectively irradiating the support to reduce the adhesion of the layer of adhesive and loosen solder ball elements at prescribed positions (column 5, lines 29-36); removing the loosened solder ball elements and leaving solder ball elements that are fixed on the support in an arrangement pattern for a semiconductor chip or for a semiconductor component; soldering the solder ball elements remaining in a predetermined arrangement pattern on the support onto contact areas of a semiconductor wafer or semiconductor chip or wiring support for semiconductor components (column 6, lines 48-67, Fig.4B)); and pulling the support off the substrate to be loaded with flip-chip contacts or ball contacts (column 2, lines 20-25; column 4, lines 20-25).

Regarding claim 35, Wachtler discloses that the support is selectively irradiated with UV rays through a mask to reduce the adhesion of the layer of adhesive and to loosen solder ball elements at prescribed positions (column 5, lines 29-37).

***Claim Rejections - 35 USC § 103***

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

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5. The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
2. Ascertaining the differences between the prior art and the claims at issue.
3. Resolving the level of ordinary skill in the pertinent art.
4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

6. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

7. Claim 27 is rejected under 35 U.S.C. 103(a) as being unpatentable over Wachtler.

Regarding claim 27, Wachtler discloses that the irradiating device includes a UV source and, for selectively irradiating the support with UV rays and the masks for UV irradiation of the support at prescribed positions (column 5, lines 20-29).

Wachtler does not disclose a mask holder. Wachtler however discloses that the masks are used for selective radiation (column 5, lines 20-29).

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It would have been therefore obvious to one of ordinary skill in the art at the time the invention was made to modify Wachtler to have a mask holder for the masks for the purpose of conveniently applying the radiation.

8. Claim 28 is rejected under 35 U.S.C. 103(a) as being unpatentable over Hotchkiss as applied to claim 25 above, and further in view of Jin (US 5, 591,037).

Regarding claim 28, Hotchkiss discloses removal device for removing the loosened solder ball elements (column 4, lines 60-67). Hotchkiss does not disclose that the removal device includes a roller or a continuous tape, which are provided with tacky surfaces on which loosened solder ball elements remain adhesively attached.

Jin however disclose a solder-carrying medium that includes a continuous tape, which are provided with tacky surfaces on which loosened solder ball elements remain adhesively attached (column 4, lines 30-55).

It would have been therefore obvious to one of ordinary skill in the art at the time the invention was made to modify Hotchkiss with Jin to remove the loosened solder ball by the removal device that includes a continuous tape, which are provided with tacky surfaces on which loosened solder ball elements remain adhesively attached for the purpose of easy picking the solder ball elements.

9. Claim 30 is rejected under 35 U.S.C. 103(a) as being unpatentable over Hotchkiss.

Regarding claim 30, Hotchkiss discloses adjusting means for aligning the remaining solder ball elements of the support with contact areas of the substrates to be loaded of the holder (column 5, lines 3-9).



Hotchkiss does not disclose that the loading device includes a holder for substrates to be loaded and a support holder for the support with an arrangement pattern of solder ball elements.

Hotchkiss however discloses transferring solder balls from the support (Fig. 4A, numeral 24) to the substrate (Fig. 7B, numeral 14).

It would have been therefore obvious to one of ordinary skill in the art at the time the invention was made to use the support holder for the substrate the support for the purpose of simplification of transferring process.

10. Claim 32 is rejected under 35 U.S.C. 103(a) as being unpatentable over Hotchkiss as applied to claim 31 above, and further in view of Tan (US 2003/0148597).

Regarding claim 32, Hotchkiss discloses forming an adhesive layer on one side of the support (column 4, lines 36-36).

Hotchkiss does not disclose that the adhesive layer is formed by spraying. Tan however discloses forming an adhesive layer by spraying ([0035]).

It would have been therefore obvious to one of ordinary skill in the art at the time the invention was made to modify Hotchkiss with Tan to form an adhesive layer by spraying since it is common process of forming an adhesive layer.

11. Claim 33 is rejected under 35 U.S.C. 103(a) as being unpatentable over Hotchkiss as applied to claim 31 above, and further in view of Yamamoto (US 6, 284, 568).

Regarding claim 33, Hotchkiss discloses that the solder ball elements are adhesively attached on the layer of adhesive in rows in a prescribed minimally permissible pitch for a semiconductor chip of a semiconductor component (Fig.4A).

Hotchkiss does not disclose that the solder ball elements are attached from dispensing nozzles arranged in parallel next to one another.

Yamamoto however discloses attaching the solder ball elements from dispensing nozzles arranged in parallel next to one another (Fig.19).

It would have been therefore obvious to one of ordinary skill in the art at the time the invention was made to modify Hotchkiss with Yamamoto to attach the solder ball elements from dispensing nozzles arranged in parallel next to one another for the purpose of improving productivity (Yamamoto, column 2, lines 55-62).

### ***Response to Arguments***

12. Applicant's arguments filed 02/25/2010 have been fully considered but they are not persuasive.

13. Applicant's arguments that Hotchkiss does not disclose a removal device for removing the loosened solder ball are not persuasive since Hotchkiss discloses a removal device in column 4, lines 60-67. Examiner also would like to note that limitation "for removing the loosened solder ball" is an intended use recitation rather than required feature further limiting the scope of the claims. The applied prior art can be so modified or used and therefore renders unpatentable such claims. See, for example, M.P.E.P. § 2111.04, and precedents cited therein. A recitation of the intended use of the claimed invention must result in a structural difference between the claimed invention

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and the prior art in order to patentably distinguish the claimed invention from the prior art. If the prior art structure is capable of performing the intended use, then it meets the claim. In the present case a removal device of Hotchkiss is used for removing solder particles (column 4, lines 60-67), i.e. it is capable of removing the loosened ball.

14. Applicant's arguments that Wachtler does not disclose selectively irradiating to loosen solder ball elements are not persuasive since Wachtler discloses selectively irradiating the support in column 4, lines 28-37. Examiner also would like to note that language of claim 31 "to loosen solder ball elements at prescribed position" is an intended outcome recitation rather than required step further limiting the scope of the claims. The applied prior art can be so modified or used and therefore renders unpatentable such claims. See, for example, M.P.E.P. § 2111.04, and precedents cited therein. In the present case, Wachtler discloses that selectively irradiating the support results in losing adhesiveness of areas exposed to radiation (column 4, lines 29-35), i.e. it is capable of losing the solder ball elements at prescribed position.

15. Applicant's arguments that Wachtler does not disclose removing the loosened solder ball elements are not persuasive since in column 6, lines 48-67, Wachtler discloses removing the excess solder particles, i.e. loosened solder ball elements.

### ***Conclusion***

16. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

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A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

17. Any inquiry concerning this communication or earlier communications from the examiner should be directed to JULIA SLUTSKER whose telephone number is (571)270-3849. The examiner can normally be reached on Monday-Friday, 8 a.m.-5 p.m. EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Keisha Bryant can be reached on (571)-272-1844. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

JS

May 17, 2010

/Asok K. Sarkar/

Primary Examiner, Art Unit 2891

May 18, 2010